

# 一类混沌神经网络的研究

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## 摘要

本文研究了延迟混沌神经网络的同步问题, 引入一种新的自适应反馈控制器(包括状态耦合控制和延迟状态耦合控制), 利用李雅普诺夫泛函研究了变系数情形下系统的同步性。最后利用数值模拟验证了结论的有效性。

## 关键词

自适应同步, 时滞混沌神经网络, 时变系数, 稳定性

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# Study on a Class of Chaotic Neural Networks

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## Abstract

This paper is concerned with the synchronization of the delayed chaotic neural networks, it has a new adaptive feedback controller which includes state coupling control

and delayed state coupling control. We study the case where the system is a variable coefficient. Also we discuss the stability conditions of synchronization by constructing a new Lyapunov functional. Example and numerical simulation are given to illustrate the effectiveness of the results.

## Keywords

Adaptive Synchronization, Delayed Chaotic Neural Networks, Time-Varying Coefficient, Stability

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## 1. 主要模型和预备知识

同步是指不同过程在时间上的一致或相关。有很多不同的同步类型如混沌同步 [1, 2]、相位同步 [3] 和集群同步 [4]。特别是由于其在许多领域的潜在应用。近几十年来, 非线性混沌同步动力系统得到了广泛的研究。同步现象在许多领域得到了广泛的研究和应用。因此, 对研究同步的理论和应用有很大的兴趣 [5-9]。

在同步方面, 学者们分别研究了自适应控制等不同的混沌同步方案 [2], 反馈控制 [1, 2, 10, 11], 固定控制 [2, 12] 等等。[13] 考虑更复杂的系统, 用类似方法研究变系数情况。在实际应用中, 由于传输速度有限而交通拥堵, 信息传递往往滞后。将时间延迟引入神经系统, 是实际设计和应用的必要条件。在这篇文章中, 我们考虑了由以下状态定义的具有时变延迟的神经网络方程:

$$\dot{x}_i(t) = -c_i x_i(t) + \sum_{j=1}^n a_{ij} f_j(x_j(t)) + \sum_{j=1}^n b_{ij} f_j(x_j(t - \tau(t))) + I \quad (1)$$

另一种形式为

$$\dot{x}(t) = -Cx(t) + Af(x(t)) + Bf(x(t - \tau(t))) + I \quad (2)$$

这里  $x(t) = [x_1(t), x_2(t), \dots, x_n(t)]^T \in \mathbb{R}^n$  代表神经元的状态向量;  $C = \text{diag}(c_1, c_2, \dots, c_n) > 0$  (一个正的对角矩阵),  $A = (a_{ij})_{n \times n}$  和  $B = (b_{ij})_{n \times n}$  分别指的是连接权重矩阵和延迟连接矩阵;  $I = (I_1, I_2, \dots, I_n)^T \in \mathbb{R}^n$  是一个连续的外部输入;  $\tau(t) \geq 0$  是传输时滞;  $f(x(t)) = f_1(x_1(t)), f_2(x_2(t)), \dots, f_n(x_n(t))^T \in \mathbb{R}^n$  代表神经元的激活函数。

从系统方程如下所示:

$$\dot{y}_i(t) = -c_i(t)y_i(t) + \sum_{j=1}^n a_{ij}(t)f_j(y_j(t)) + \sum_{j=1}^n b_{ij}(t)f_j(y_j(t - \tau(t))) + I + U_i(t) \quad (3)$$

另一种形式为

$$\dot{y}(t) = -C(t)y(t) + A(t)f(y(t)) + B(t)f(y(t - \tau(t))) + I + U(t) \quad (4)$$

这里  $U(t) = [u_1(t), u_2(t), \dots, u_n(t)]^T$  是控制器。令  $e(t) = y(t) + mx(t)$ , 并且自适应反馈控制器设计为  $U(t) = L(y(t) - x(t)) + K(y(t - \tau(t)) - x(t - \tau(t)))$ , 这里的矩阵  $L = \text{diag}(l_1, l_2, \dots, l_n) > 0$ ,  $K = \text{diag}(k_1, k_2, \dots, k_n) > 0$ 。然后, 同步的误差系统可以写成:

$$\begin{aligned} \dot{e}_i(t) = & -c_i e_i(t) - (c_i(t) - c_i)y_i(t) + \sum_{j=1}^n a_{ij}g_j(e_j(t)) + \sum_{j=1}^n (a_{ij}(t) - a_{ij})f_j(y_j(t)) \\ & + \sum_{j=1}^n b_{ij}g_j(e_j(t - \tau(t))) + \sum_{j=1}^n (b_{ij}(t) - b_{ij})f_j(y_j(t - \tau(t))) + l_i(t)e_i(t) + k_i(t)e_i(t - \tau(t)), \end{aligned} \quad (5)$$

另一种形式为,

$$\begin{aligned} \dot{e}(t) = & -Ce(t) - (C(t) - C)y(t) + A(t)g(e(t)) + (A(t) - A)f(y(t)) + Bg(e(t - \tau(t))) \\ & + (B(t) - B)f(y(t - \tau(t))) + Le(t) + K(e(t - \tau(t))), \end{aligned} \quad (6)$$

这里  $g(e(t)) = f(x(t) + e(t)) - f(x(t))$ 。

贯穿整篇文章, 我们有如下两个假设:

(H<sub>1</sub>): 假设激活函数  $f_i(x)$ ,  $i = 1, 2, \dots, n$ . 满足如下的条件: 存在常数  $k_i > 0$ , 使得对于任意的  $x_1, x_2 \in \mathbb{R}$ , 有

$$|f_i(x_1) - f_i(x_2)| \leq k_i |x_1 - x_2|, i = 1, 2, \dots, n.$$

(H<sub>2</sub>):  $\tau(t) \geq 0$  是一个微分函数, 且对于所有的  $t$ , 有  $0 \leq \dot{\tau}(t) \leq \mu < 1$ 。

**定义1.1** 系统(1)和(3)是在自适应控制器下是全局同步的, 如果

$$\lim_{t \rightarrow \infty} \|x_i(t) - y_i(t)\| = 0, i = 1, 2, \dots, n.$$

这里  $\|\cdot\|$  代表  $\mathbb{R}^n$  上的欧几里得范数。

**引理1.1** 对任何向量  $a, b \in \mathbb{R}^n$ , 不等式

$$\pm 2a^T X b \leq a^T X a + b^T X b$$

满足, 其中  $X$  是任何矩阵, 其中  $X > 0$ 。

## 2. 主要结果

考虑以上的模型, 在理论证明以后, 我们可以得到以下结论。

**定理2.1** 让 $c_i(t), a_{ij}(t), b_{ij}(t), l_i(t), k_i(t)(i, j = 1, 2, \dots, n)$  满足:

$$\begin{aligned} \dot{c}_i(t) &= \gamma_i e_i(t) y_i(t) \exp(\mu t) \\ \dot{a}_{ij}(t) &= -\eta_{ij} e_i(t) f_j(y_j(t)) \exp(\mu t) \\ \dot{b}_{ij}(t) &= -\rho_{ij} e_i(t) f_i(y_j(t - \tau(t))) \exp(\mu t) \\ \dot{l}_i(t) &= -\varepsilon_i^2 e_i^2(t) \exp(\mu t), \dot{k}_i(t) = -\sigma_i^2 e_i(t - \tau(t)) e_i(t) \exp(\mu t) \end{aligned} \tag{7}$$

这里 $e_i(t) = y_i(t) - x_i(t)(i = 1, 2, \dots, n), \mu \geq 0$  是一个正常数, 并且 $\gamma_i, \eta_{ij}, \rho_{ij}, \sigma_{ij}$  都是随意的正常数, 且

$$l = \lambda_{max}(-C) + \lambda_{max}\left(\frac{\mu}{2}E\right) + \lambda_{max}\left(\frac{1}{2}AA^T\right) + \lambda_{max}\left(\frac{1}{2}BB^T\right) + \frac{1}{2}h + \frac{h}{2(1-\lambda)}e^{\mu\tau^+}.$$

这里 $\lambda_{max}(M)$  表示对称矩阵M的最大特征值,  $\tau^+$  表示 $\tau(t)$  的上确界。如果假设 $(H_1)$  和 $(H_2)$  满足, 并且 $l < 0$ , 则从系统(2)与主系统(1)是全局同步的, 并且

$$\lim_{t \rightarrow \infty} (c_i(t) - c_i) = \lim_{t \rightarrow \infty} (a_{ij}(t) - a_{ij}) = \lim_{t \rightarrow \infty} (b_{ij}(t) - b_{ij}) = 0, i, j = 1, 2, \dots, n.$$

**证明** 构造如下李雅普诺夫泛函

$$\begin{aligned} V(t) &= \frac{1}{2}e^T(t)e(t)\exp(\mu t) + \frac{1}{2}\sum_{i=1}^n \frac{1}{\gamma_i}(c_i(t) - c_i)^2 + \frac{1}{2}\sum_{i=1}^n \sum_{j=1}^n \frac{1}{\eta_{ij}}(a_{ij}(t) - a_{ij})^2 \\ &+ \frac{1}{2}\sum_{i=1}^n \sum_{j=1}^n \frac{1}{\rho_{ij}}(b_{ij}(t) - b_{ij})^2 + \frac{1}{2}\sum_{i=1}^n \frac{l_i^2(t)}{\varepsilon_i^2} + \frac{1}{2}\sum_{i=1}^n \frac{k_i^2(t)}{\sigma_i^2} \\ &+ \int_{t-\tau(t)}^t \frac{1}{2(1-\lambda)}g^T[e(\theta)]g[e(\theta)]\exp\{\mu[\theta + \tau(t)]\}d\theta \end{aligned} \tag{8}$$

计算(8) 的导数, 并代入误差系统(6),

$$\begin{aligned} \dot{V}(t) &= e^T(t)\dot{e}(t)\exp(\mu t) + \frac{\mu}{2}e^T(t)e(t)\exp(\mu t) + \sum_{i=1}^n \frac{1}{\gamma_i}(c_i(t) - c_i)\dot{c}_i(t) + \sum_{i=1}^n \sum_{j=1}^n \frac{1}{\eta_{ij}}(a_{ij}(t) - a_{ij})\dot{a}_{ij}(t) \\ &+ \sum_{i=1}^n \sum_{j=1}^n \frac{1}{\rho_{ij}}(b_{ij}(t) - b_{ij})\dot{b}_{ij}(t) + \sum_{i=1}^n \frac{l_i(t)}{\varepsilon_i^2}\dot{l}_i(t) + \sum_{i=1}^n \frac{k_i(t)}{\sigma_i^2}\dot{k}_i(t) + \frac{1}{2(1-\lambda)}g^T[e(t)]g[e(t)]\exp(\mu t) \\ &* \exp(\mu\tau(t)) - \frac{1-\dot{\tau}(t)}{2(1-\lambda)}g^T\{e[t-\tau(t)]\}g\{e[t-\tau(t)]\}\exp(\mu t) \end{aligned}$$

注意到定理3.1中的假设和方程(5), 我们得到

$$\begin{aligned} \dot{V}(t) = & \exp(\mu t) \left\{ -e^T(t)Ce(t) + \frac{\mu}{2}e^T(t)e(t) + e^T(t)Ag[e(t)] + e^T(t)Bg[e(t - \tau(t))] \right. \\ & \left. + \frac{1}{2(1-\lambda)}g^T[e(t)]g[e(t)]\exp(\mu\tau(t)) - \frac{1-\dot{\tau}(t)}{2(1-\lambda)}g^T\{e[t - \tau(t)]\}g\{e[t - \tau(t)]\} \right\} \end{aligned}$$

注意到假设(H<sub>2</sub>):  $0 \leq \dot{\tau}(t) \leq \mu < 1$ , 我们可以得到  $-\frac{(1-\dot{\tau}(t))}{(1-\mu)} \leq -1$ .

通过引理1.1, 将X用单位矩阵替代, 我们有

$$\begin{aligned} \dot{V}(t) \leq & \exp(\mu t) \left\{ -e^T(t)Ce(t) + \frac{\mu}{2}e^T(t)e(t) + \frac{1}{2}e^T(t)AA^Te(t) \right. \\ & + \frac{1}{2}g^T[e(t)] \cdot g[e(t)] + \frac{1}{2}e^T(t)BB^Te(t) + \frac{1}{2}g^T\{e[t - \tau(t)]\} \cdot g\{e[t - \tau(t)]\} \\ & \left. + \frac{1}{2(1-\lambda)}g^T[e(t)]g[e(t)]\exp[\mu\tau(t)] - \frac{1-\dot{\tau}(t)}{2(1-\lambda)}g^T\{e[t - \tau(t)]\} \cdot g\{e[t - \tau(t)]\} \right\} \quad (9) \\ \leq & \exp(\mu t) \left\{ -e^T(t)Ce(t) + \frac{\mu}{2}e^T(t)e(t) + \frac{1}{2}e^T(t)AA^Te(t) + \frac{1}{2}g^T[e(t)] \cdot g[e(t)] \right. \\ & \left. + \frac{1}{2}e^T(t)BB^Te(t) + \frac{1}{2(1-\lambda)}g^T[e(t)]g[e(t)]\exp[\mu\tau(t)] \right\} \end{aligned}$$

注意到假设(H<sub>1</sub>), 我们有如下的不等式:

$$|f_i[e_i(t)]| \leq h_i|e_i(t)|, i = 1, 2, \dots, n.$$

然后, 我们可以得到:

$$g^T[e(t)] \cdot g[e(t)] = \sum_{i=1}^n g_i^2[e_i(t)] \leq \sum_{i=1}^n h_i^2 e_i^2(t) \leq h e^T(t)e(t), \quad (10)$$

这里  $h = \max\{h_i^2 | i = 1, 2, \dots, n\}$ .

将不等式(10)代入不等式(9)的右边,

$$\begin{aligned} \dot{V}(t) \leq & \exp(\mu t) \left\{ -e^T(t)Ce(t) + \frac{\mu}{2}e^T(t)e(t) + \frac{1}{2}e^T(t)AA^Te(t) + \frac{1}{2}he^T(t)e(t) \right. \\ & \left. + \frac{1}{2}e^T(t)BB^Te(t) + \frac{h}{2(1-\lambda)}e^T(t)e(t)\exp[\mu\tau(t)] \right\} \\ \leq & \exp(\mu t)e^T(t) \left\{ \lambda_{\max}(-C) + \frac{\mu}{2} + \lambda_{\max}\left(\frac{1}{2}AA^T\right) + \frac{1}{2}h + \lambda_{\max}\left(\frac{1}{2}BB^T\right) + \frac{h}{2(1-\lambda)}e^{\mu\tau^+} \right\} \cdot e(t), \end{aligned}$$

最后, 我们得到

$$\dot{V}(t) \leq 0$$

通过李雅普诺夫稳定性理论, 我们可以知道从系统(2)与主系统(4)是全局同步的。与此同时, 变系数  $a_{ij}(t), c_i(t), b_{ij}(t)$  将会同步于  $a_{ij}, c_i, b_{ij}, i, j = 1, 2, \dots, n$ . 所以, 我们完成了证明。

### 3. 数值模拟

下面我们将通过一个例子去验证定理2.1的有效性。

**例子3.1** 考虑带有时变时滞的耦合神经网络, 用下列方程描述:

$$\dot{x}(t) = -Cx(t) + Af(x(t)) + Bf(x(t - \tau(t))) + I \tag{11}$$

其中

$$C = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad A = \begin{pmatrix} 2.0 & -0.11 \\ -5.0 & 3.2 \end{pmatrix}$$

$$B = \begin{pmatrix} -1.6 & -0.1 \\ -0.18 & -2.4 \end{pmatrix}, \quad I = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

且 $\tau(t) = \frac{e^t}{1+e^t}$ ,  $f(t) = \tanh(t)$ 。神经网络的初始值为 $x_1(s) = -1.8, x_2(s) = 0.2, s \in [-1, 0]$ 。控制从系统为:

$$\dot{y}(t) = -C(t)y(t) + A(t)f(y(t)) + B(t)f(y(t - \tau(t))) + I + U(t) \tag{12}$$

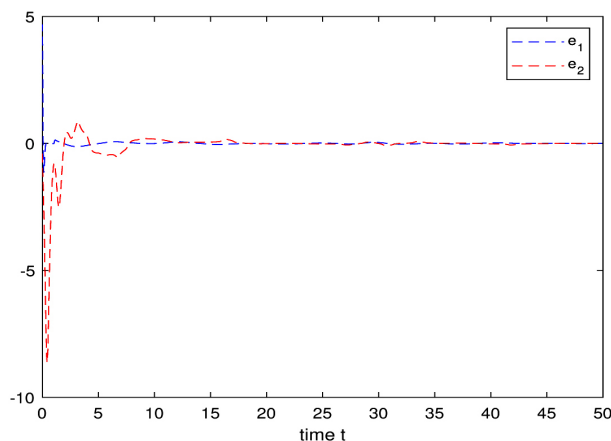
其中, 我们只确定八个参数 $a_{11}(t), a_{22}(t), b_{11}(t), b_{22}(t), l_1(t), l_2(t), k_1(t), k_2(t)$ 。方便起见, 我们将分别记为 $a_1, a_2, b_1, b_2, l_1, l_2, k_1, k_2$ 。然后, 我们必须构建如下反馈强度更新规律和参数自适应规律:

$$\begin{aligned} \dot{a}_1 &= -9.2 (y_3 - y_1) \tanh(y_3) \exp(0.5), \\ \dot{a}_2 &= -0.6 (y_4 - y_2) \tanh(y_4) \exp(0.5), \\ \dot{b}_1 &= -9.0 (y_3 - y_1) \tanh(y_3(t - \tau(t))) \exp(0.5), \\ \dot{b}_2 &= -0.8 (y_4 - y_2) \tanh(y_4(t - \tau(t))) \exp(0.5), \\ \dot{l}_1 &= -5 \times 5y(5)y(5) \exp(0.5), \\ \dot{l}_2 &= -0.5 \times 0.5y(6)y(6) \exp(0.5), \\ \dot{k}_1 &= -3 \times 3 (e_1(t - \tau(t))) y(5) \exp(0.5), \\ \dot{k}_2 &= -0.3 \times 0.3 (e_2(t - \tau(t))) y(6) \exp(0.5), \end{aligned}$$

各个初始值如下所示,

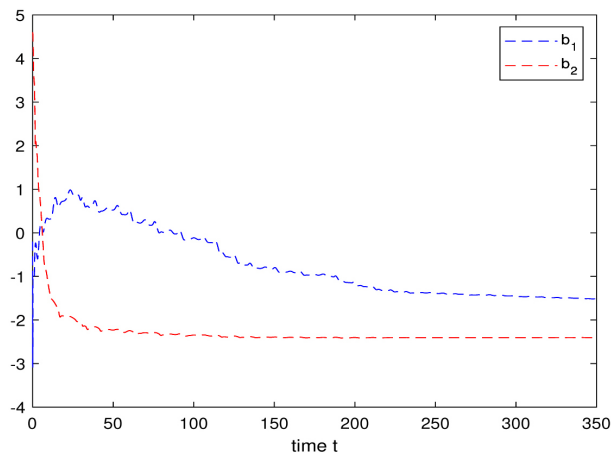
$$\begin{aligned} a_1(0) &= -1.2, & a_2(0) &= 5.8, & y_1 &= 2.8, & y_2 &= -0.2, \\ b_1(0) &= -0.2, & b_2(0) &= 4.6, & l_1(0) &= 5, & l_2(0) &= 5, \\ k_1(0) &= 3, & k_2(0) &= 3, \end{aligned}$$

图 1展示了误差向量 $e_1(t), e_2(t)$ 趋向于0; 图 2说明了随着时间进行, 变系数趋于常数。



**Figure 1.** Evolution of synchronization errors  $e_1(t), e_2(t)$ , asymptotically achieve to 0

图 1. 同步误差  $e_1(t), e_2(t)$  趋于 0



**Figure 2.** Variable coefficient  $b_1, b_2$ , asymptotically achieve to  $-1.6, -2.4$

图 2. 变系数  $b_1, b_2$  分别趋向于  $-1.6, -2.4$

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